**Instruction Sheet for Pax8rtTA transgenic mouse Material Transfer Agreements (MTA) for Academic Centers (Non-profit)**

In order to make our process for sending this popular material more efficient, DKFZ has created a non-negotiable, simple Material Transfer Agreement that is ready for an institution and its scientist to sign. The Material will be made available by a third party **(European Mouse Mutant Archive (EMMA))**. We have used standard terms that we find most institutions can agree to.

In order to receive the Pax8rtTA material, please follow these instructions:

1. Complete the following information:

a) In the opening paragraph, fill in the institute’s legal name, place of business, and the recipient scientist’s name in the appropriate places.

b) In Section II please check the paragraph regarding genetically modified organism and have it signed by the legal representative of your institution.

c) On the final page, please have the Recipient Scientist and the appropriate legal representative of the institution sign and date the agreement.

2. Send the MTA to:

Innovation Managament T010

Deutsches Krebsforschungszentrum

Im Neuenheimer Feld 280

69120 Heidelberg

Germany

If your institution does not require hardcopies, please send a PDF to: mta@dkfz.de

When sending us the MTAs, please note who at the institution should receive the fully signed original of the MTA once completed by DKFZ.

3. We will process the MTA as soon as possible and return one fully executed MTA to the institution receiving the material. Once the MTA is fully executed, you can request the mice from the **European Mouse Mutant Archive (EMMA)**

Please provide EMMA with a copy of the fully executed MTA when ordering the mice.

If you have any questions, please send an e-mail to mta@dkfz.de

**Transfer of Biological Material**

Deutsches Krebsforschungszentrum, Stiftung des öffentlichen Rechts (German Cancer Research Center) located at Im Neuenheimer Feld 280, D-69120 Heidelberg, Germany ("DKFZ") agrees to provide (name of institution) located at ("RECIPIENT"; address) with certain MATERIAL for use in the laboratory of **.** (“RECIPIENT SCIENTIST”; name and email address) for the purpose of conducting scientific work on the topic of (specification of experiments) under the following conditions:

At the request of RECIPIENT and at RECIPIENT's own risk and responsibility, the MATERIAL will be made available to RECIPIENT by a third party **(European Mouse Mutant Archive (“EMMA”))**. DKFZ retains ownership of the MATERIAL.

I.

Definitions:

1. MATERIAL: ORIGINAL MATERIAL; PROGENY; and UNMODIFIED DERIVATIVES. The MATERIAL shall not include: (a) MODIFICATIONS, or (b) other substances created by the RECIPIENT through the use of the MATERIAL which are not MODIFICATIONS, PROGENY, or UNMODIFIED DERIVATIVES.
2. PROGENY: Unmodified descendant from the MATERIAL, such as virus from virus, cell from cell, or organism from organism.
3. UNMODIFIED DERIVATIVES: Substances created by the RECIPIENT which constitute an unmodified functional subunit or product expressed by the ORIGINAL MATERIAL.
4. MODIFICATIONS: Substances created by the RECIPIENT which contain/incorporate the MATERIAL.

II.

**Please check and sign the following:**

🞏 Material is a genetically modified organism classified in risk group 1

RECIPIENT confirms by the signature below that it is authorised and has   
 adequate facilities which comply with all laws and regulations applicable in  
 RECIPIENT’s country to work with genetically modified organisms

Authorized Official of Recipient

(Person authorized to bind RECIPIENT legally by his/her signature)

Name:

Position:

Signature: ……………………………………………………..

The ORIGINAL MATERIAL created by **Dr. Robert Koesters, Department G105** covered by this Agreement includes:

## Name of the Material: **Pax8rtTA**

## Short description/ scientific reference: **Transgenic mouse / Pax8-Promoter / Tet-on-System (Gossen et al., Science. 1995 Jun 23;268(5218):1766-9.**

The MATERIAL listed above is considered proprietary to DKFZ.

III.

The MATERIAL is to be used solely for teaching and academic research purposes and will not be used in human subjects, in clinical trials, or for diagnostic purposes involving human subjects without the written consent of DKFZ.

IV.

RECIPIENT shall not distribute or release the MATERIAL to any person other than laboratory personnel under RECIPIENT SCIENTIST’s direct supervision. RECIPIENT shall ensure that no one will be allowed to take or send the MATERIAL to any other location unless written permission is obtained from DKFZ. DKFZ will control future distributions of the MATERIAL. At the written request of DKFZ, RECIPIENT will cease to use MATERIAL and will return (at DKFZ’s option) all unused MATERIAL.

V.

DKFZ retains ownership of the MATERIAL, including any MATERIAL contained or incorporated in MODIFICATIONS. RECIPIENT retains ownership of: (a) MODIFICATIONS (except that DKFZ retains ownership rights to the MATERIAL included therein) , and (b) those substances created through the use of the MATERIAL or MODIFICATIONS, but which are not PROGENY, UNMODIFIED DERIVATIVES or MODIFICATIONS (i.e. do not contain the ORIGINAL MATERIAL, PROGENY, UNMODIFIED DERIVATIVES). If either (a) or (b) results from the collaborative efforts of DKFZ and RECIPIENT, joint ownership may be negotiated.

VI.

RECIPIENT shall have the right to distribute substances created by RECIPIENT through the use of the ORIGINAL MATERIAL, only if those substances are not PROGENY, UNMODIFIED DERIVATIVES or MODIFICATIONS.

Under a separate agreement at least as protective of DKFZ’s rights as this Agreement, RECIPIENT may distribute MODIFICATIONS to non-profit organizations for research and teaching purposes only.

Without written consent of DKFZ, RECIPIENT may not provide MODIFICATIONS for commercial purposes. It is recognized by RECIPIENT that such commercial purposes may require a commercial license from DKFZ and that DKFZ has no obligation to grant a commercial license to its ownership interest in the MATERIAL incorporated in the MODIFICATIONS.

The RECIPIENT acknowledges that the MATERIAL is or may be the subject of a patent application. Except as provided in this Agreement, no express or implied licenses or other rights are provided to the RECIPIENT under any patents, patent applications, trade secrets or other proprietary rights of DKFZ, including any altered forms of the MATERIAL made by DKFZ. In particular, no express or implied licenses or other rights are provided to use the MATERIAL, MODIFICATIONS, or any related patents of the DKFZ for profit-making or commercial purposes. The MATERIAL will not be used in research that is subject to consulting or licensing obligations to another corporation, company, or business entity unless written permission is obtained from DKFZ.

VII.

RECIPIENT shall periodically inform DKFZ of research results related to the MATERIAL and will provide DKFZ with a copy of any manuscripts describing the results of such research at the time the manuscript is submitted for publication. RECIPIENT shall mention DKFZ by name in any publication or the responsible DKFZ member as co-authors or in any other appropriate way.

VIII.

THE MATERIAL IS EXPERIMENTAL IN NATURE AND IS PROVIDED WITHOUT WARRANTY OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE OR ANY OTHER WARRANTY, EXPRESS OR IMPLIED. DKFZ MAKES NO REPRESENTATION OR WARRANTY THAT THE MANUFACTURE, SALE, TRANSFER OR USE OF THE MATERIAL WILL NOT INFRINGE ANY PATENT OR PROPRIETARY RIGHT OF OTHERS.

IX.

MATERIAL as used herein and any cell line or progeny containing rtTA DNA derived directly or indirectly there from may be covered by the claims of U.S. patent numbers 5,464,758; 5,650,298; 5,654,168; 5,789,156; 5,912,411; 5,866,755; 5,859,310; 5,814,618; 6,252,136; 6,242,667; 6,136,953; 6,914,124; 6,783,756; 6,271,348 which have been assigned to TET systems GmbH.

If RECIPIENT has a license from TET systems GmbH, which has to be obtained by contacting TET systems GmbH (ref. c), RECIPIENT may use the MATERIAL subject to the terms of that license. Alternatively, RECIPIENT shall be subject to paragraphs a), b) and c) below.

1. RECIPIENT may use the MATERIAL solely for its internal non-commercial biomedical research purposes, *provided however,* that such research purposes specifically excludes
2. use of rtTA DNA in higher plants or agricultural applications,
3. use of rtTA DNA in the alteration of mouse embryonic stem cells or other pluripotent mouse cells for the purpose of preparing a library of such mouse embryonic stem cells or other pluripotent mouse cells containing rtTA DNA, and
4. use of any material containing rtTA DNA which is encompassed by the above referenced U.S. patent numbers for any commercial purpose or for the benefit of any for-profit institution. RECIPIENT shall not use the MATERIAL in the development, manufacture, use, lease, sale (or other transfer for consideration) or importation of any product for sale (or lease or other transfer of a product for consideration) wherein the manufacture use, sale or importation of such product would infringe the above referenced U.S. patent numbers, including but not limited to wherein the product is manufactured using a composition or method whichwould infringe the above referenced U.S. patent numbers. RECIPIENT shall not use the MATERIAL to generate scientific data or information that is conveyed to a third party for consideration, except as may be permitted under a written agreement between the non-profit institution and TET systems GmbH.
5. The MATERIAL, and any PROGENY or DERIVATIVES containing rtTA DNA derived directly or indirectly there from, may not be transferred by the RECIPIENT to any third parties, except as may be permitted under a written agreement between the non-profit institution and TET systems GmbH.
6. With respect to any questions regarding license rights under the above referenced U.S. patents, RECIPIENT should contact: TET Systems Holding GmbH & Co. KG, Im Neuenheimer Feld 582, 69120 Heidelberg, Tel. +49 6221 5880400, Fax +49 6221 5880404, [info@tet-systems.com](mailto:info@tet-systems.com), [license@tet-systems.com](mailto:license@tet-systems.com).

X.

DKFZ has a non-exclusive license from Cellectis, the exclusive licensee, to Institut Pasteur Patent No. FR 2646438 and corresponding patent applications and patents. If MATERIAL is covered by such patents, RECIPIENT is not allowed to transfer any MATERIAL to third parties.

XI.

RECIPIENT shall notify DKFZ promptly in writing of any invention, improvement, modification, discovery, or development (each, an "Invention") of MATERIAL or associated know how and data conceived or reduced to practice in the course of the RECIPIENT’s research with MATERIAL or associated know how and data. In the patent applications DKFZ and respectively its employees, shall be mentioned as co-inventors according to their contribution to the invention, if appropriate under statutory provisions. If patents applications are filed in the United States, parties agree to abide by United States patent law.

DKFZ may use any technology, any patent thereon and any material resulting from the use of the MATERIAL by RECIPIENT developed through use of MATERIAL transferred under this MTA in its own internal, non-profit making academic research and teaching purposes.

XII.

In no event shall DKFZ be liable for any use by RECIPIENT of the MATERIAL or any loss, claim, damage or liability, of whatsoever kind or nature, which may arise from or in connection with this Agreement or the use, handling or storage of the MATERIAL by RECIPIENT.

XIII.

RECIPIENT agrees to comply with all applicable laws, rules and regulations relating to the care, welfare, handling, breeding, storage, transfer and disposal of the MATERIAL, including all laws relating to shipment to and from (and which will be made F.O.B.) DKFZ, Heidelberg, Germany. No indemnification for any loss, claim, damage, or liability is intended or provided by any party under this Agreement. Each party shall be liable for any loss, claim, damage, or liability that said party incurs as a result of said party’s activities under this Agreement, except that RECIPIENT, as an agency of the United States government, assumes liability only to the extent as provided under the Federal Tort Claims Act.

XIV.

Should any provision of this Agreement be rendered legally invalid or unenforceable, a legally valid provision, which provides the same meaning as intended by the invalid provision, is presumed to have been agreed upon by the parties. This Agreement constitutes the entire agreement between the parties; any changes of the agreement have to be made in writing.

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| For DKFZ: |  | For RECIPIENT: |
| Deutsches Krebsforschungszentrum Stiftung des öffentlichen Rechts |  | (Name of Institution) |
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| ………………………………………. |  | ………………………………………. |
| Name: Dr. Ruth Herzog |  | Name:  RECIPIENT SCIENTIST |
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|  |  | ………………………………………. |
|  |  | Name:  Title:  Legal representative of RECIPIENT |
|  |  |  |
| Heidelberg, Germany |  |  |
|  |  |  |
| Date: .......................................... |  | Date:................................................ |